

ALCOHOL AND DRUG TESTS IN THE EMPLOYMENT CONTEXT

Clara Guerra

Portuguese DPA

XIX Case Handling Workshop

* Prague *

13th March 2009

APPROACH

- Legal framework
- Jurisprudence
- Some cases dealt by the DPA
- DPA guidelines
- Dispute in the court

LEGAL FRAMEWORK

Convention 108

- Explanatory report
 - Article 6- Special categories of data (health data)
 - "This category of data also covers those relating to abuse of alcohol or the taking of drugs"

National legislation

- General dispositions

 nothing explicit on the data processing on drug or alcohol
 - Labour Code
 - Tests only admissible for the protection and security of the employee or thirds
 - DP Act

JURISPRUDENCE

Justice Supreme Court (1998 June 24)

 Legitimate for the employer to submit the employee to alcohol tests. This imposition is covered by the employer directive power and the employee refusal is fair cause for firing

Constitutional Court (2002 - Decision 368/02)

The employee has to submit to such tests whenever his behaviour may represent a risk for third parties. This compulsory measure cannot, though, be taken in an abusive or discriminatory manner.

CASES DEALT BY THE DPA

- Case 1 (2003)Car accident at work: one mortal victim
 - The employer requests the hospital for alcohol test results to make internal inquiry and eventually sanction procedure

- DPA decision
 - The employer has disciplinary action;
 - Law considers that being intoxicated at work is punishable with suspension;
 - Authorised the access to the data

CASES DEALT BY THE DPA (2)

Case 2 (2002)

Medicine service at work

 Employer wished to process employees' alcohol consumer habits

DPA decision

- It should not be processed, in a general way, for all professional categories
- This could be an unjustified intromission in the employee's habits
- It is admissible for certain professions to prevent risks for the life or physical integrity of others.
- Information only processed by the work doctor

INCREASING TREND

- Many companies and public bodies want to submit all their employees to alcohol tests
- Internal regulations on the use of drugs and alcohol and imposition of tests
- Positive results: disciplinary action
- More coercive than preventive

DPA GUIDELINES

- Several notifications concerning data processing with the purpose of granting security, hygiene and medicine at work
- 2006: DPA issued guidelines on the principles applicable to this kind of data processing
- Analysis on alcohol and drug issue

MAIN PRINCIPLES

Alcohol and drug consumer habits

- Consumer profiles may be excessive and potentially discriminatory
- Not admissible for all professional categories

Other less intrusive measures

- Preventive action
- Close observation and surveillance of symptoms

MAIN PRINCIPLES (2)

Alcohol and drug tests at work

- Admissible for certain professional categories duly justified, for the prevention of danger to physical integrity of the employee and third parties;
- Performed exclusively by the work doctor;
- Results data processed only by the doctor;
- Output for the employer: able to work or nonable

INCREASING TREND

- Many companies and public bodies want to submit all their employees to alcohol tests
- Internal regulations on the use of drugs and alcohol and imposition of tests
- Positive results: disciplinary action
- More coercive than preventive

RECENT CASE

- Municipality approved an internal regulation:
 - Any employee can be subjected to alcohol tests, for no reason at all;
 - The tests are performed by non-medical staff;
 - The results of the tests are communicated to the superiors (=>0,5g/l is considered positive)
 - Positive result causes immediate dismissal
- The DPA did not authorise the data processing as requested for disproportionate and illegitimate
- The data controller appealed to the court

THANK YOU FOR YOUR ATTENTION!

clara@cnpd.pt

COMISSÃO NACIONAL DE PROTECÇÃO DE DADOS PORTUGAL